



IAP16 Rec'd PCT/PTO 29 NOV 2007 pa \$

APPLICANT(S) : Setlur et al.  
FOR : RULES FOR EFFICIENT LIGHT  
SOURCES USING PHOSPHOR  
CONVERTED LEDS  
SERIAL NO. : 10/590,262  
INTERNATIONAL FILING DATE : February 22, 2005  
EXAMINER : Unknown  
ART UNIT : Unknown  
CONFIRMATION NO. : 6090  
ATTORNEY DOCKET NO. : GLOZ 2 00141

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. 371 IN THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**MAIL STOP PCT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450  
Attn.: RO/US

Dear Sir:

Responsive to the Notification of Missing Requirements (copy attached hereto)  
mailed May 24, 2007, Applicant(s) submit(s) an executed Declaration and Power of  
Attorney.

The \$130.00 fee in payment of the surcharge required for filing the Declaration  
later than the filing date of the application is authorized to be charged to a credit card.

The appropriate form PTO-2038 is enclosed for this purpose. If the credit card is unable

12/03/2007 LLANDGRA 00000015 060308 10590262

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to be charged, please charge any and all fees or credit any overpayment to Deposit Account No. 06-0308. If there are any additional fees required by this communication, please charge same to Deposit Account No. 06-0308.

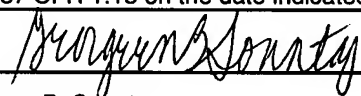
Respectfully submitted,

Fay Sharpe LLP



Scott A. McCollister, Reg. No. 33,961  
1100 Superior Avenue  
Seventh Floor  
Cleveland, OH 44114-2579  
216-861-5582

November 26, 2007  
Date

Certificate of Mailing or Transmission	
I hereby certify that this correspondence (and any item referred to herein as being attached or enclosed) is (are) being	
<input checked="" type="checkbox"/> deposited with the United States Postal Service as First Class Mail, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.	
<input type="checkbox"/> transmitted to the USPTO by facsimile in accordance with 37 CFR 1.18 on the date indicated below.	
Express Mail Label No.:	Signature: 
Date: November 26, 2007	Name: Georgeen B. Sonntag



## UNITED STATES PATENT AND TRADEMARK OFFICE

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15

UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/590,262	Anant A. Setlur	GLOZ 2 00141

INTERNATIONAL APPLICATION NO.
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PCT/US05/06098

I.A. FILING DATE	PRIORITY DATE
02/22/2005	02/20/2004

27885  
 FAY SHARPE LLP  
 1100 SUPERIOR AVENUE, SEVENTH FLOOR  
 CLEVELAND, OH 44114

CONFIRMATION NO. 6090

371 FORMALITIES LETTER



\*OC000000024032438\*

Date Mailed: 05/24/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/18/2006
- Copy of the International Search Report filed on 08/18/2006
- U.S. Basic National Fees filed on 08/18/2006
- Priority Documents filed on 08/18/2006
- Specification filed on 08/18/2006
- Claims filed on 08/18/2006
- Abstracts filed on 08/18/2006
- Drawings filed on 08/18/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

Emailed to: SMC  
 On: 5/31/07 By: Ben T.

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/590,262	PCT/US05/06098	GLOZ 2 00141